

10/10/01



UTILITY PATENT APPLICATION TRANSMITTAL LETTER

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
MA-502-IIS

To the Assistant Commissioner for Patents:

Transmitted herewith for filing is the patent application of:

Toshiaki KAN-O

corresponding to Japanese application No.2000-314199, filed
13 October 2000.

entitled: DISK DRIVE UNIT AND INFORMATION PROCESSING DEVICE

Enclosed are:

- X 19 pages of specification.

X 8 sheets of formal drawings.

X a newly-executed declaration of the inventor.

a copy of an executed declaration of the inventor from prior application Serial No. , filed .

X incorporation by reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

X✓ an assignment of the invention to NEC Corporation, including assignment cover sheet.

Information Disclosure Statement with Form PTO-1449.

copies of the Information Disclosure Statement citations.

X preliminary amendment.

X return receipt postcard (MPEP 503), specifically itemized.

X applicant claims small entity status under 37 CFR 1.27.

X a certified copy of the Japanese Priority Document.

X other: Abstract of the Disclosure, Application Data Sheet .

If a CONTINUING APPLICATION, check appropriate box and supply the requisite information.

Continuation Divisional Continuation-in-part (CIP)

of prior application No. , filed .

| | |
|---|--|
| X | Customer No. 000466. |
| X | Correspondence address is: YOUNG & THOMPSON, 745 South 23rd Street, Second Floor, Arlington, Virginia 22202. |
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UTILITY PATENT APPLICATION TRANSMITTAL LETTER

(continued)

Docket No.
MA-502-US**CLAIMS AS FILED**

| | NO. FILED | NO. EXTRA | RATE | FEE |
|-------------------------------------|-----------|-----------|--------------|--------|
| BASIC FEE | | | \$ 740 | \$ 740 |
| TOTAL CLAIMS | 23 - 20 = | 3 | x\$ 18 | 54 |
| INDEPENDENT CLAIMS | 5 - 3 = | 2 | x\$ 84 | 168 |
| MULTIPLE DEPENDENT CLAIM PRESENT | | | \$ 280 | |
| | | | TOTAL | \$962 |

If applicant claims small entity status under
37 CFR 1.27, then divide total fee by 2, and
enter amount here.

**SMALL ENTITY
TOTAL**

\$

| |
|---|
| X |
| X |
| |
| |
| X |
| X |
| |
| |

A check in the amount of \$1002 to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit Account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of \$ as filing fee.

Credit any overpayment.

Charge any additional fee required under 37 CFR 1.16 and 1.17, during the pendency of this application.

Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance.



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October 10, 2001